

BILL & MELINDA GATES foundation

GRANT AGREEMENT Investment ID INV-001009

AGREEMENT SUMMARY & SIGNATURE PAGE

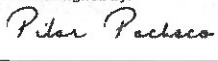
| GRANTEE INFORMATION | |
|---------------------|---|
| Name: | Comunidad Andina |
| Tax Status: | Government, or a political subdivision, agency, or instrumentality thereof You confirm that the above information is correct and agree to notify the Foundation immediately of any change. |
| Mailing Address: | Avenida Paseo de la Republica 3895 San Isidro – Lima 27, Lima, PERU |
| Primary Contact: | Silvia Helden Molfino, CAPRADE Technical Secretariat, shelden@comunidadandina.org |

| FOUNDATION INFORMATION | |
|------------------------|--|
| Mailing Address: | P. O. Box 23350, Seattle, Washington 98102, USA |
| Primary Contact: | Pilar Pacheco, Program Officer, Global Development, pilar.pacheco@gatesfoundation.org |

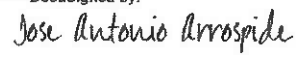
| AGREEMENT INFORMATION | |
|---|--|
| Title: | Strengthening Capacities in Disaster Risk Management in South America |
| "Charitable Purpose": | to strengthen capacities for disaster risk management in member countries of Andean Community: Bolivia, Colombia, Ecuador, and Peru |
| "Start Date": | Date of last signature |
| "End Date": | June 30, 2021 |
| This Agreement includes and incorporates by this reference: | This Agreement Summary & Signature Page and: <ul style="list-style-type: none"> • Grant Amount and Reporting & Payment Schedule (Attachment A) • Terms and Conditions (Attachment B) • Investment Document (date submitted 06-20-2019) • Results Framework (date submitted 04-22-2019) • Budget (date submitted 04-22-2019) |

THIS AGREEMENT is between Comunidad Andina ("CAN," "You" or "Grantee") and the Bill & Melinda Gates Foundation ("Foundation"), and is effective as of the date of last signature. Each party to this Agreement may be referred to individually as a "Party" and together as the "Parties." As a condition of this grant, the Parties enter into this Agreement by having their authorized representatives sign below.

BILL & MELINDA GATES FOUNDATION

DocuSigned by:

 518BCD3157D34DC...
 By: Pilar Pacheco
 Title: Program Officer
 July 22, 2019
 Date

COMUNIDAD ANDINA

DocuSigned by:

 472739B8E7834F5...
 By: Jose Antonio Arrospide
 Title: Ambassador, Director General
 August 6, 2019
 Date

GRANT AGREEMENT
Investment ID INV-001009

ATTACHMENT A
GRANT AMOUNT AND REPORTING & PAYMENT SCHEDULE

GRANT AMOUNT

The Foundation will pay You the total grant amount specified in the Reporting & Payment Schedule below. The Foundation's Primary Contact must approve in writing any Budget cost category change of more than 10%.

REPORTING & PAYMENT SCHEDULE

Payments are subject to Your compliance with this Agreement, including Your achievement, and the Foundation's approval, of any applicable targets, milestones, and reporting deliverables required under this Agreement. The Foundation may, in its reasonable discretion, modify payment dates or amounts and will notify You of any such changes in writing.

REPORTING

You will submit reports according to the Reporting & Payment Schedule using the Foundation's templates or forms, which the Foundation will make available to You and which may be modified from time to time. For a progress or final report to be considered satisfactory, it must demonstrate meaningful progress against the targets or milestones for that investment period. If meaningful progress has not been made, the report should explain why not and what adjustments You are making to get back on track. Please notify the Foundation's Primary Contact if You need to add or modify any targets or milestones. The Foundation must approve any such changes in writing. You agree to submit other reports the Foundation may reasonably request.

| REPORTING & PAYMENT SCHEDULE | | | | |
|---|--|----------------------------|---|--------------------------------|
| <i>Investment Period</i> | <i>Target, Milestone, or Reporting Deliverable</i> | <i>Due By</i> | <i>Payment Date</i> | <i>Payment Amount (U.S.\$)</i> |
| | Countersigned Agreement | | Within 15 days after receipt of countersigned Agreement | \$175,000.00 |
| | M&E Plan | September 20, 2019 | | |
| Start Date to December 31, 2019 | Progress Report | March 3, 2020 | June 2020 | \$175,000.00 |
| Start Date to End Date | Final Report | Within 60 days of End Date | | |
| Total Grant Amount | | | | \$350,000.00 |

GRANT AGREEMENT
Investment ID INV-001009

ATTACHMENT B
TERMS & CONDITIONS

This Agreement is subject to the following terms and conditions.

PROJECT SUPPORT

PROJECT DESCRIPTION AND CHARITABLE PURPOSE

The Foundation is awarding You this grant to carry out the project described in the Investment Document ("*Project*") in order to further the Charitable Purpose. The Foundation, in its discretion, may approve in writing any request by You to make non-material changes to the Investment Document.

MANAGEMENT OF FUNDS

USE OF FUNDS

You may not use funds provided under this Agreement ("*Grant Funds*") for any purpose other than the Project. You may not use Grant Funds to reimburse any expenses You incurred prior to the Start Date. At the Foundation's request, You will repay any portion of Grant Funds and/or Income used or committed in material breach of this Agreement, as determined by the Foundation in its discretion.

INVESTMENT OF FUNDS

You must invest Grant Funds in highly liquid investments with the primary objective of preservation of principal (e.g., interest-bearing bank accounts or a registered money market mutual fund) so that the Grant Funds are available for the Project. Together with any progress or final reports required under this Agreement, You must report the amount of any currency conversion gains (or losses) and the amount of any interest or other income generated by the Grant Funds (collectively, "*Income*"). Any Income must be used for the Project.

GLOBAL ACCESS

GLOBAL ACCESS COMMITMENT

You will conduct and manage the Project and the Funded Developments in a manner that ensures Global Access. Your Global Access commitments will survive the term of this Agreement. "*Funded Developments*" means the products, services, processes, technologies, materials, software, data, other innovations, and intellectual property resulting from the Project (including modifications, improvements, and further developments to Background Technology). "*Background Technology*" means any and all products, services, processes, technologies, materials, software, data, or other innovations, and intellectual property created by You or a third party prior to or outside of the Project used as part of the Project. "*Global Access*" means: (a) the knowledge and information gained from the Project will be promptly and broadly disseminated; and (b) the Funded Developments will be made available and accessible at an affordable price (i) to people most in need within developing countries, or (ii) in support of the U.S. educational system and public libraries, as applicable to the Project.

HUMANITARIAN LICENSE

Subject to applicable laws and for the purpose of achieving Global Access, You grant the Foundation a nonexclusive, perpetual, irrevocable, worldwide, royalty-free, fully paid up, sublicensable license to make, use, sell, offer to sell, import, distribute, copy, create derivative works, publicly perform, and display Funded Developments and Essential Background Technology. "Essential Background Technology" means Background Technology that is: (a) owned, controlled, or developed by You, or in-licensed with the right to sublicense; and (b) either incorporated into a Funded Development or reasonably required to exercise the license to a Funded Development. You confirm that You have retained sufficient rights in the Funded Developments and Essential Background Technology to grant this license. You must ensure this license survives the assignment or transfer of Funded Developments or Essential Background Technology. On request, You must promptly make available the Funded Developments and Essential Background Technology to the Foundation for use solely under this license. If You demonstrate to the satisfaction of the

Foundation that Global Access can best be achieved without this license, the Foundation and You will make good faith efforts to modify or terminate this license, as appropriate.

PUBLICATION

Consistent with Your Global Access commitments, if the Project description specifies Publication or Publication is otherwise requested by the Foundation, You will seek prompt Publication of any Funded Developments consisting of data and results. "Publication" means publication in a peer-reviewed journal or other method of public dissemination specified in the Project description or otherwise approved by the Foundation in writing. Publication may be delayed for a reasonable period for the sole purpose of seeking patent protection, provided the patent application is drafted, filed, and managed in a manner that best furthers Global Access. If You seek Publication in a peer-reviewed journal, such Publication shall be under "open access" terms and conditions consistent with the Foundation's Open Access Policy available at: www.gatesfoundation.org/How-We-Work/General-Information/Open-Access-Policy, which may be modified from time to time. Nothing in this section shall be construed as requiring Publication in contravention of any applicable ethical, legal, or regulatory requirements. You will mark any Funded Development subject to this clause with the appropriate notice or attribution, including author, date and copyright (e.g., © 20<> <Name>).

INTELLECTUAL PROPERTY REPORTING

During the term of this Agreement and for 5 years after, You will submit upon request annual intellectual property reports relating to the Funded Developments, Background Technology, and any related agreements using the Foundation's templates or forms, which the Foundation may modify from time to time.

SUBGRANTS AND SUBCONTRACTS

SUBGRANTS AND SUBCONTRACTS

You have the exclusive right to select subgrantees and subcontractors to assist with the Project.

SCHOLARSHIPS AND FELLOWSHIPS

You will have sole discretion over Your selection of any scholarship and fellowship recipients under this Agreement and must conduct the selection process independently of the Foundation.

TRAVEL STIPENDS AND CONFERENCE FEES

You will have sole discretion over Your selection of any recipients of travel stipends or conference expense reimbursements under this Agreement and must conduct the selection process independently of the Foundation. Foundation trustees and employees are not eligible to receive travel stipends or conference expense reimbursements.

RESPONSIBILITY FOR OTHERS

You are responsible for (a) all acts and omissions of any of Your trustees, directors, officers, employees, subgrantees, subcontractors, contingent workers, agents, and affiliates assisting with the Project, and (b) ensuring their compliance with the terms of this Agreement.

PROHIBITED ACTIVITIES

ANTI-TERRORISM

You will not use funds provided under this Agreement, directly or indirectly, in support of activities (a) prohibited by U.S. laws relating to combating terrorism; (b) with persons on the List of Specially Designated Nationals (www.treasury.gov/sdn) or entities owned or controlled by such persons; or (c) in or with countries or territories against which the U.S. maintains comprehensive sanctions (currently, Cuba, Iran, Syria, North Korea, and the Crimea Region of Ukraine), including paying or reimbursing the expenses of persons from such countries or territories, unless such activities are fully authorized by the U.S. government under applicable law and specifically approved by the Foundation in its sole discretion.

ANTI-CORRUPTION; ANTI-BRIBERY

You will not offer or provide money, gifts, or any other things of value directly or indirectly to anyone in order to improperly influence any act or decision relating to the Foundation or the Project, including by

assisting any party to secure an improper advantage. Training and information on compliance with these requirements are available at www.learnfoundationlaw.org.

LOBBYING AND ELECTIONEERING PROHIBITION

You may not use Grant Funds to influence the outcome of any election for public office or to carry on any voter registration drive. You acknowledge that the Foundation has not earmarked Grant Funds to support lobbying activities or to otherwise support attempts to influence legislation. Activities will be conducted consistent with the private foundation lobbying rules and exceptions under Internal Revenue Code Section 4945 and related regulations. You confirm that the Budget (or the combined project budget if there are multiple funders) accurately reflects that You will expend at least the amount of the Grant Funds on (a) non-lobbying activities in the project year, or (b) for multiple year projects, the total non-lobbying portion of the project.

OTHER LOBBYING, GIFT, AND ETHICS RULES

You agree to comply with any national, state, local, or other lobbying, gift, and ethics rules applicable to the Project. The Foundation is not retaining or employing You to engage in lobbying activities.

OTHER

PUBLICITY

A Party may publicly disclose information about the award of this grant, including the other Party's name, the total amount awarded, and a description of the Project, provided that a Party obtains prior written approval before using the other Party's name for promotional purposes or logo for any purpose. Any public disclosure by You or Your subgrantees, subcontractors, contingent workers, agents, or affiliates must be made in accordance with the Foundation's then-current brand guidelines, which are available at: www.gatesfoundation.org/brandguidelines.

COMPLIANCE WITH LAWS

In carrying out the Project, You will comply with all applicable laws, regulations, and rules and will not infringe, misappropriate, or violate the intellectual property, privacy, or publicity rights of any third party.

COMPLIANCE WITH REQUIREMENTS

You will conduct, control, manage, and monitor the Project in compliance with all applicable ethical, legal, regulatory, and safety requirements, including applicable international, national, local, and institutional standards ("*Requirements*"). You will obtain and maintain all necessary approvals, consents, and reviews before conducting the applicable activity. As a part of Your annual progress report to the Foundation, You must report whether the Project activities were conducted in compliance with all Requirements.

If the Project involves:

- a. any protected information (including personally identifiable, protected health, or third-party confidential), You will not disclose this information to the Foundation without obtaining the Foundation's prior written approval and all necessary consents to disclose such information;
- b. children or vulnerable subjects, You will obtain any necessary consents and approvals unique to these subjects; and/or
- c. any trial involving human subjects, You will adhere to current Good Clinical Practice as defined by the International Council on Harmonisation (ICH) E-6 Standards (or local regulations if more stringent) and will obtain applicable trial insurance.

Any activities by the Foundation in reviewing documents and providing input or funding does not modify Your responsibility for determining and complying with all Requirements for the Project.

RELIANCE

You acknowledge that the Foundation is relying on the information You provide in reports and during the course of any due diligence conducted prior to the Start Date and during the term of this Agreement. You represent that the Foundation may continue to rely on this information and on any additional information You provide regarding activities, progress, and Funded Developments.

INDEMNIFICATION

If the Project involves clinical trials, trials involving human subjects, post-approval studies, field trials

involving genetically modified organisms, experimental medicine, or the provision of medical/health services ("*Indemnified Activities*"), You will indemnify, defend, and hold harmless the Foundation and its trustees, employees, and agents ("*Indemnified Parties*") from and against any and all demands, claims, actions, suits, losses, damages (including property damage, bodily injury, and wrongful death), arbitration and legal proceedings, judgments, settlements, or costs or expenses (including reasonable attorneys' fees and expenses) (collectively, "*Claims*") arising out of or relating to the acts or omissions, actual or alleged, of You or Your employees, subgrantees, subcontractors, contingent workers, agents, and affiliates with respect to the Indemnified Activities. You agree that any activities by the Foundation in connection with the Project, such as its review or proposal of suggested modifications to the Project, will not modify or waive the Foundation's rights under this paragraph. An Indemnified Party may, at its own expense, employ separate counsel to monitor and participate in the defense of any Claim. Your indemnification obligations are limited to the extent permitted or precluded under applicable federal, state or local laws, including federal or state tort claims acts, the Federal Anti-Deficiency Act, state governmental immunity acts, or state constitutions. Nothing in this Agreement will constitute an express or implied waiver of Your governmental and sovereign immunities, if any.

INSURANCE

You will maintain insurance coverage sufficient to cover the activities, risks, and potential omissions of the Project in accordance with generally-accepted industry standards and as required by law. You will ensure Your subgrantees and subcontractors maintain insurance coverage consistent with this section.

TERM AND TERMINATION

TERM

This Agreement commences on the Start Date and continues until the End Date, unless terminated earlier as provided in this Agreement. The Foundation, in its discretion, may approve in writing any request by You for a no-cost extension, including amending the End Date and adjusting any affected reporting requirements.

TERMINATION

The Foundation may modify, suspend, or discontinue any payment of Grant Funds or terminate this Agreement if: (a) the Foundation is not reasonably satisfied with Your progress on the Project; (b) there are significant changes to Your leadership or other factors that the Foundation reasonably believes may threaten the Project's success; (c) there is a change in Your control; (d) there is a change in Your tax status; or (e) You fail to comply with this Agreement.

RETURN OF FUNDS

Any Grant Funds that have not been used for, or committed to, the Project upon expiration or termination of this Agreement must be (a) returned promptly to the Foundation, (b) applied to another Foundation-funded project (current or under consideration), or (c) applied to another mutually-agreed upon charitable purpose, as directed in writing by the Foundation. Any Income that has not been used for, or committed to, the Project must be either applied to another Foundation-funded project (current or under consideration) or applied to another mutually-agreed upon charitable purpose, as directed in writing by the Foundation.

RECORD KEEPING

You will maintain complete and accurate accounting records and copies of any reports submitted to the Foundation relating to the Project. You will retain such records and reports for 4 years after Grant Funds have been fully spent. At the Foundation's request, You will make such records and reports available to enable the Foundation to monitor and evaluate how Grant Funds have been used or committed.

SURVIVAL

A Party's obligations under this Agreement will be continuous and survive expiration or termination of this Agreement as expressly provided in this Agreement or otherwise required by law or intended by their nature.

GENERAL

ENTIRE AGREEMENT, CONFLICTS, AND AMENDMENTS

This Agreement contains the entire agreement of the Parties and supersedes all prior and

contemporaneous agreements concerning its subject matter. If there is a conflict between this Agreement and the Investment Document, Results Framework and Tracker, or Budget, this Agreement will prevail. Except as specifically permitted in this Agreement, no modification, amendment, or waiver of any provision of this Agreement will be effective unless in writing and signed by authorized representatives of both Parties.

NOTICES AND APPROVALS

Written notices, requests, and approvals under this Agreement must be delivered by mail or email to the other Party's primary contact specified on the Agreement Summary & Signature Page, or as otherwise directed by the other Party.

SEVERABILITY

Each provision of this Agreement must be interpreted in a way that is enforceable under applicable law. If any provision is held unenforceable, the rest of the Agreement will remain in effect.

ASSIGNMENT

You may not assign, or transfer by operation of law or court order, any of Your rights or obligations under this Agreement without the Foundation's prior written approval. This Agreement will bind and benefit any permitted successors and assigns.

COUNTERPARTS AND ELECTRONIC SIGNATURES

Except as may be prohibited by applicable law or regulation, this Agreement and any amendment may be signed in counterparts, by facsimile, PDF, or other electronic means, each of which will be deemed an original and all of which when taken together will constitute one agreement. Facsimile and electronic signatures will be binding for all purposes.

INVESTMENT DOCUMENT - GRANTS

We appreciate your interest in submitting a proposal to the Bill & Melinda Gates Foundation and we thank you for working with us throughout the proposal process. Your designated foundation contact will continue to work collaboratively with you as you prepare your proposal to help you understand the connection between the foundation's relevant program strategy and the proposed project, as well as to respond to any questions you might have over the course of this process. You are encouraged to communicate with your Program Officer to make sure that your efforts are aligned with the proposal requirements and that you are not expending unnecessary time or energy in this process.

Program Officers and grantees will complete this document together over time as they make and manage their investments. Due to tax, legal, and reporting requirements, all proposals must be submitted in English. The proposal must be submitted in Word, as PDFs will not be accepted.

This is a proposal shaping document and not a commitment by the foundation to fund the work.

SECTION A – INVESTMENT DETAILS

General Information - Gates Foundation Staff to Complete

| | | | |
|------------------------|--|------------------------|-------------------------------------|
| Investment Name | Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community. | | |
| Grantee | General Secretary of the Andean Community | Investment Owner | Pilar Pacheco |
| Investment Record ID | INV-001009 | Investment Owner Title | Program Officer, Global Development |
| Investment Coordinator | Brianna Lovato | | |

General Information - Grantee to Complete

| | | | |
|--|---|--|------------|
| Investment Duration (months) | 24 | | |
| Requested Amount (U.S.\$) | 350,000.00 | Total Project Cost (U.S. \$) | 350,000.00 |
| Organization Legal Name ¹ | General Secretary of the Andean Community | | |
| Organization Doing Business As/Trade Name ² | Secretaría General de la Comunidad Andina | | |
| Mailing Address | Primary Contact Name | Silvia Helden Molfino | |
| Street Address 1 | Primary Contact Title | International Officer | |
| Street Address 2 | Primary Contact Email | shelden@comunidadandina.org | |
| Street Address 3 | Primary Contact Phone | +51 -1-7106525 | |
| City | Additional Contact | Fernando Jiménez Zeballos | |
| State / Province | Additional Contact Email | fjimenez@comunidadandina.org | |
| Zip / Postal Code | Authorized Signer Name | Jorge Hernando Pedraza Gutierrez | |

| | | | |
|--|--|--|--|
| Country | Peru | Authorized Signer Title | General Secretary of the Andean Community |
| Website (if applicable) | www.comunidadandina.org | Authorized Signer Email | correspondencia.ingresos@comunidadandina.org |
| Tax Status (if known and applicable) <small>Refer to Tax Status Definitions</small> | Public Charity Equivalency | Organization's Total Revenue for Most Recent Audited Financial Year (U.S.\$) | \$5,659,200 USD (2017) |
| U.S. Employer Identification Number (EIN) (if applicable) | | Proposal Completed/Date Submitted | June 20, 2019 |

¹Legal Name will be used in the agreement and should match the name on the bank account that receives the grant funds (assuming fully executed agreement).
²Trade Name or d/b/a ("doing business as") only required if different from Legal Name

Strategic Fit - Gates Foundation Staff to Complete

The following includes the foundation's 3-5-year strategic goal(s) towards which the investment contributes most directly. Also addressed here:

- How this investment contributes to the strategic goals that are listed.
- How this investment relates to others (current or future) in the Portfolio.

One of the main levers for the Emergency Response team to reach its mission of saving lives and reducing suffering in communities affected by emergencies and help transform the humanitarian system to be more effective and efficient, is by working to strengthen local institutions for humanitarian response. Through a series of investments, the EMR team is supporting government institutions at local, district and national level as well as multi-stakeholder networks and partnerships in different regions.

This investment seeks to strengthen the technical, institutional, and organizational capacity for disaster risk management at the sub-regional level of four countries in the southern cone of the Americas: Bolivia, Colombia, Ecuador, and Peru by working through the inter-government integration mechanism CAPRADE of the General Secretary of the Andean Community.

Public Description - Gates Foundation Staff to Complete

The following describes the charitable purpose of this work. It is written in a standard format so that it can be included on tax forms and published to the foundation's public website.

This investment will be used to strengthen technical and institutional capacities for disaster risk management of national systems in member countries of CAPRADE of the General Secretary of the Andean Community: Bolivia, Colombia, Ecuador, and Peru.

Project Overview - Gates Foundation Staff and Grantee to Collaborate

Please provide or expand upon the information provided in the narrative space below:

- What is the primary outcome(s) or result(s) this investment will achieve or significantly contribute to? How will you know when that result(s) has been achieved (how will the result be measured)? If sustainability is a component of proposed outcomes, please describe the vision of long-term sustainability of this project. Consider the economic/financial, organizational or behavioral factors to sustain outcomes beyond this project's time frame and funding.
- Describe the approach you will take to achieve the intended results of this project: a) Overall Scope of Work b) Timing and/or phases, and c) Narrative of resource needs to support the budget (ex: people, capabilities, technical expertise, experience, specific assets)
- Describe potential risks/challenges to the success of this project and how you plan to address them. Include any external factors or critical relationships with other partners/projects that may influence the success of this project.
- Describe any changes or improvements you plan to make to your organization's capacity to undertake or achieve the outcomes of the proposed investment.

ORGANIZATIONAL CONTEX

The Andean Community (Spanish: Comunidad Andina, CAN) is a free trade area with the objective of creating a customs union comprising the South American countries of Bolivia, Colombia, Ecuador, and Peru. The trade bloc was called the Andean Pact until 1996 and came into existence when the Cartagena Agreement was signed in 1969. Its headquarters are in Lima, Peru.

The Member Countries of the Andean Community of Nations (CAN), through its Andean Committee for Disaster Prevention and Assistance (CAPRADE), created by Decision 529, together with the General Secretariat of the Andean Community (SG-CAN), to redoubled efforts to reduce the impact of natural and anthropogenic disasters in the Andean Subregion, through the coordination and promotion of policies, strategies, plans, projects and research; and, to the development of activities in the prevention, mitigation, preparation, disaster care, rehabilitation and reconstruction, through mutual cooperation and assistance and the exchange of experiences in the field.

CAPRADE is made up of a headline representative and alternate from Civil Defense, the Planning Ministry or institutions that perform that function, Ministries of Foreign Relations and, if applicable, the coordinating institutions for disaster prevention and assistance management from the CAN Member Countries: Bolivia, Colombia, Ecuador, and Peru.

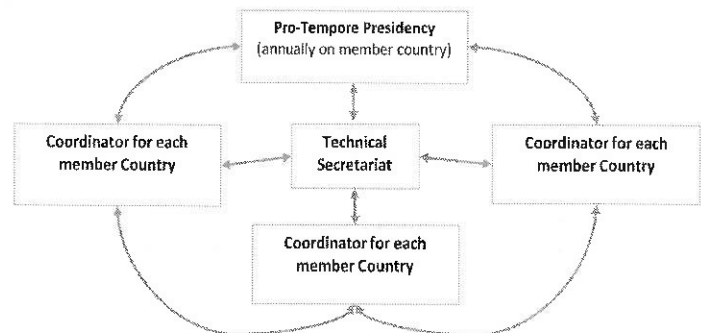
Structure of CAPRADE:

- **Pro Tempore Presidency:** Exercised by the Member Country that holds the Presidency of the Andean Presidential Council;
- Coordinator for each member Country, designated of its titular/alternative representative in the Committee;
- And,
Technical Secretariat: Exercised by officials appointed by the General Secretariat of the Andean Community.

The approval of tools and decision-making in the Andean subregion takes place with the consensus of the Member Countries of the CAN.

In regard to coordination, annually, one of the Member Countries assumes the Pro Tempore Presidency (PPT) and is in charge of the coordination actions; this does not suppose a superior hierarchy to the other countries. The PPT is responsible for preparing the Andean Annual Operating Plan proposal, which passes to the validation and approval of the other countries.

CAPRADE ORGANIGRAM



The Technical Secretariat supports and accompanies the Member Countries to facilitate the coordination of their activities.

Currently, the subregion counts with the Andean Strategy for Disaster Risk Management (EAGRD) and its Implementation Plan to 2030. Their objectives are to reduce the risk of disasters and contribute to sustainable development with inclusion through institutional strengthening and the establishment of policies, strategies, programs and subprograms for common and / or similar implementation.

The focus areas are: I) Prioritize knowledge of disaster risk at the national and sub-regional levels; II) Strengthen the governance of disaster risk at the national and sub-regional levels; III) Strengthen public and private investment in order to reduce the risk and manage disasters in the Andean subregion through the application of structural and non-structural measures; and, IV) Increase disaster preparedness measures at all levels to have an effective response and reconstructing better in the area of rehabilitation and

reconstruction.

In this regard, CAPRADE works to strengthen disaster prevention, mitigation, preparedness, assistance, recovery, and reconstruction; cooperation and mutual assistance; and experience sharing.

The actions of the EAGRD Implementation Plan are prioritized according to annual goals. Every year Presidency Pro-Tempore have to prepare an Annual Operational Plan (AOP) according to the Implementation Plan of the Andean Strategy. This dynamic facilitates the approval of this AOP, considering that the activities were already agreed by the Member Countries.

SUB-REGIONAL CONTEXT: BOLIVIA, COLOMBIA, ECUADOR, PERU

BOLIVIA: Bolivia is located in an area of intense climatic activity, periodically marked by El Niño and La Niña phenomena, each year is threatened by tropical waves, storms and more intense disturbances, such as floods, hailstorms, snowfalls, frosts, and seasonal droughts. They affect their territory, human settlements, and mainly agricultural production activities.

These climatic alterations cause the impact of natural disasters in a recurrent manner, caused by events of natural origin, climate change or those caused by human activity such as, for example, the disordered form of occupation of territories, activities that generate vulnerability and rupture of the balance between human and nature dynamics. The number of victims, the economic and material losses resulting from each event, have had a negative impact on the quality of life of the affected population and on the prospects of achieving the economic and social development of the country.

Scientific evidence shows that adverse events become more frequent and intense and cause a greater impact; Thus, during the 2006-2018 period, emergencies of significant magnitude occurred, affecting around 5% of the national population, with an economic loss of almost 1,000 million dollars in the Agricultural Sector alone.

COLOMBIA: Colombia, located in the extreme northwest of South America, has an area of approximately 2,070,408 km², of which 55% corresponds to the continental territory and the remaining area to the maritime territory. Its geographical condition and its historical characteristics make the country highly vulnerable to threats of natural, socio-natural and technological origin, associated with the geographical, historical and environmental configuration of the territory. Phenomena such as earthquakes, torrential rains, floods, droughts, among others. In addition to the above, socio-economic consequences have been presented in recent decades, due to the occurrence of dangerous natural disasters, which are indicators of high vulnerability in communities located in areas prone to these phenomena. In general, the municipalities that are most affected by the materialization of these environmental threats are usually those with the lowest rates of development and governance.

In Colombia there have been several events that have demarcated the essential principles of the construction and execution of public policy to comprehensively address the problems that come with the phenomena; with respect to this, the National Unit for Disaster Risk Management (UNGRD) and the National System for Disaster Risk Management (SNGRD) was created, stable and durable to respond to the different phenomena. The country has faced events such as Popayán earthquake (1983), Armero avalanche (1985), Niño phenomenon (1992), Armenian earthquake (1999), girl phenomenon (2010-2011), Salgar avalanche (2015), the tragedy of Mocoa (2017).

ECUADOR: Due to its geographical position on the planet, it is subject to various natural hazards, mainly of geological and hydro-meteorological origin, which from time to time affect to a greater or lesser degree, the population and its infrastructure. Of all of them, the threats that have the greatest socioeconomic impact have been floods, seismic events, volcanic events, and mass movements or landslides.

Given the high degree of recurrence of natural and anthropic / technological disasters, the Ecuadorian State has historically focused its efforts on strengthening the capacity to respond to catastrophic events, as well as in the rehabilitation and reconstruction stages, whose actions have been basically circumscribed to the National Security Law, which, as has been confirmed, has a reactive approach (ex-post) rather than a preventive one.

During 2018 Ecuador has been affected by five strong earthquakes, felt at the national level with the epicenter in some provinces such as Bolívar, Morona Santiago, Guayas, and Carchi. They cause structural damages, economic losses and hundreds of victims. In 2019, a

moderate El Niño Phenomenon added to the rainy season caused flooding that affected more than 30,000 people in the province of Manabí. It is necessary to consider that before the rains, the forest fires hit three times more hectares than recorded historically.

PERU: It is located in the intertropical zone of South America included between the line of Ecuador and the Tropic of Capricorn; it comprises an area of 1 285 215.60 km²; It owns most of the world's microclimates, which gives it a great diversity of natural resources. The existence of diverse geographic and climatic conditions, such as its location in the Pacific Ring of Fire, and the presence of the Andes Mountain Range and the Pacific Anticyclone, among others, means that the country is exposed to a diversity of dangers (earthquakes and tsunamis, heavy rains, El Niño Phenomenon, floods, mass movements, droughts, frost and frost, volcanic activity, among others).

Additionally, in the last decades there has been a growth of the population and the disorganized occupation of the territory, without planning and without control, together with the inadequate practices of self-construction, which causes a high degree of precariousness not only due to the predominance of inadequate materials, but by the way they are used. All this makes the population vulnerable to the aforementioned dangers.

Consequently, Peru is constantly affected by emergencies and disasters, which make it necessary to prioritize disaster risk management measures, and its transversal implementation throughout the national territory, in the three levels of government. Thus we have, that our country has faced events of magnitude as: The Earthquakes of Ancash (1970), Lima (1974), Nazca (1996); Arequipa (2001), Pisco (2007), Lagunas-Loreto (2019), among others; occurrence of El Niño phenomena of extraordinary level (1982-1983) (1997-98), and El Niño Costero (2017); heavy rains (period 2018-2019); Low temperatures; among others.

PROBLEM STATEMENT

The project seeks to expand its action operation from the interaction of countries to mitigate suffering and save lives in affected areas by natural disasters and complex emergencies.

It is evident that borders are not a limit when a catastrophe occurs, that's why the Member Countries of CAN should be aligned in the search of joint strategies to face these situations.

There is evidence of the need to expand cooperation among countries to exchange information and knowledge, as well of the alignment of responses to generate a greater impact on cooperation and the strengthening institutional capacities for preparedness, response, prevention and reconstruction. All this, can alleviate the damage to which Andean citizens are exposed.

PROJECT GOALS

This project prioritizes some of the objectives and activities contemplated in the Implementation Plan of the Andean Strategy for Disaster Risk Management. In this way, the aim is to comply with the implementation of the EAGRD and the Sendai Framework and contribute to the strengthening of the processes of prevention, mitigation, preparation, attention, rehabilitation and reconstruction of disasters in the Andean subregion.

At the end of the two-year project, CAPRADE will have made progress in the implementation of the EAGRD and the Sendai Framework and fulfilled the goals set out in the EAGRD Implementation Plan. Likewise, it will have been able to organize and strengthen the institutional framework of CAPRADE; as well as laying the groundwork for compliance with the actions outlined in the aforementioned Implementation Plan until the year 2030, which is set in the time horizon of the implementation of the EAGRD and the Sendai Framework, as well as the Plan.

This project is aligned with three of the four Thematic Axes of the Andean Strategy for Disaster Risk Management, which in turn is prioritized, within the actions corresponding to its 2030 Implementation Plan:

Thematic Axis 1: Prioritize the disaster risk awareness process in all sectors, at national and Andean sub-regional levels.

Thematic Axis 3: Strengthen public and private investment aimed at risk reduction and disaster management in the Andean region through structural and non-structural measures.

Thematic Axis 4: Increase disaster preparedness measures at all levels to ensure an effective response and to "build back better" in

the area of recovery and reconstruction.

As mentioned in the Background section of this Concept Note, the execution of the actions defined on the basis of the thematic axes of EAGRD seeks to fulfill the CAPRADE objectives with respect to disaster risk and the reduction of the impact in the Andean subregion, with a view to contributing to sustainable development with social inclusion through institutional strengthening and the development of joint actions.

The three thematic axes are fully aligned with the Emergency Response work focus of the Bill and Melinda Gates Foundation (BMGF), which aspires and whose main focus is to reduce suffering and save lives in complex emergencies and/or disasters.

CAPRADE is a sub-regional integration mechanism composed of governmental agencies involved with issues of disaster risk management, civil defense, planning, and foreign affairs of the four CAN countries.

Project Scope

The project will cover actions in the Andean Community countries: Bolivia, Colombia, Ecuador, and Peru, strengthening the plans and actions of CAPRADE, the sub-regional agency, with the goal of fostering the EAGRD Implementation Plan.

The objective of implementing the EAGRD is to: "Contribute to disaster risk and impact reduction to contribute to sustainable development in all the countries of the Andean subregion through establishing common policies, strategies, programs, and subprograms among the countries; experience sharing; and network creation."

The four EAGRD Thematic Axes are:

1. Prioritize the disaster risk awareness process in all sectors at national and Andean sub-regional levels.
2. Strengthen disaster risk governance at national and sub-regional levels.
3. Strengthen public and private investment aimed at risk reduction and disaster management in the Andean region through structural and non-structural measures.
4. Increase disaster preparedness measures at all levels to ensure an effective response and to "build back better" in the area of recovery and reconstruction.

The present project activities are designing to strengthen capacities of Disaster Risk Management National Institutions. The purpose is to protect and save Andean citizens and their livelihood, designing tools and promote better knowledge to identify risk scenarios and better early warning systems in order to reduce population vulnerability.

The project will organize spaces to share successful experiences and carry out a cost-benefit analysis of risk reduction investments.

All these kinds of activities have to be adapted to respective realities on every CAN member country in order to ensure sustainability and inclusiveness development of our society.

Project Objective

Strengthen the technical and institutional capacities for disaster risk management of national systems in the CAPRADE member countries.

Expected Outcomes

Outcome 1: Strengthen strategic partnerships, sharing of good practices and experiences, technology, data, and information on disaster risk management in the Andean subregion.

Outputs for Outcome 1

Priority 1: Communications strategy for community engagement and education on disaster risk management in the Andean subregion.

To enhance media communication use, including social media, traditional media, macro data and cell phone in order to support an effective disaster risk reduction at national and sub-region levels.

Priority 2: Design of conceptual model for sub-regional disaster risk management information system (map existing systems).

Promote/strengthen, through international cooperation, the transfer of non-confidential technologies, data, and information related to Disaster Risk Management in the Andean subregion.

Priority 3: Participation by CAPRADE in regional platform on disaster risk reduction (UNISDR, Jamaica)

Promote the participation of CAPRADE in the Regional, Sub-regional and Thematic Platforms for Disaster Risk Reduction, in order to forge alliances, evaluate progress and exchange practices and knowledge about programs, policies, and investments adapted to disaster risk, in particular in matters of development and climate, as appropriate.

Priority 4: Participation by CAPRADE in global platform and forums on disaster risk management (UNISDR).

Promote the participation of CAPRADE in the Global and Thematic Platforms for Disaster Risk Reduction, in order to forge alliances, evaluate progress and exchange practices and knowledge about programs, policies, and investments adapted to disaster risk, in particular in matters of development and climate, as appropriate.

Outcome 2: Strengthen disaster risk reduction capacity of local actors in the Andean subregion.

Outputs for Outcome 2

Priority 1: Methodological tool for the implementation of financial mechanisms for disaster risk management at the local levels, in the Andean subregion countries (includes experience sharing with local actors, prioritizing those along borders).

Encourage the participation of the Ministries of Economy, Finance, and Planning of the Andean subregion in matters relevant to Disaster Risk Management.

Priority 2: Develop disaster risk management economic and social vulnerability index in the Andean subregion.

Encourage coordination between global and sub-regional financial institutions in assessing and anticipating the possible economic and social effects of disasters.

Outcome 3: Strengthen cooperation and institutional capacities for preparedness, response, recovery, and reconstruction, with a prevention and mitigation approach, in the Andean subregion.

Outputs for Outcome 3

Priority 1: Guidelines for planning of post-disaster recovery in the Andean subregion.

Promote the voluntary exchange of tools, knowledge, and technologies, including traditional ones, between CAPRADE and other regional and sub-regional mechanisms under mutually agreed terms for prevention, mitigation, preparation, response, as well as for disaster recovery and reconstruction.

Priority 2: Exchange at regional level and compilation of experiences with implementation of early warning systems in Andean subregion countries.

Promote the further development of early warning systems for the Andean subregion on threats/hazards that are effective and compatible at the national level, as well as facilitate the distribution and exchange of information between countries.

Necessary resources:

To carry out this project, we will have to hire a project coordinator and several consultancies for the development of the products. As well, we will have to develop various workshops, which are explained below. The coordinator will be based the first year in Bolivia and in the second year in Colombia, in order to support directly the country with the presidential pro tempore.

The administration of the resources will be done by the SG CAN, through its internal procedures, among which we highlight: a) the hiring of personnel; and, b) the hiring of consultants. For this, the term of reference will be agreed upon by the entities comprising CAPRADE and published, in that way professionals from any of the 4 member countries can participate in the selection and hiring process.

During the implementation of the project, two (2) Member Countries will have the Pro Tempore Presidency of the CAPRADE: from June 2019 to May 2020 by the National Risk Management System of Bolivia (which falls on the VIDECI - Vice Ministry of Civil Defense) and the second year (June 2020 - May 2021) will be led by Colombia (UNGRD National Unit for Disaster Risk Management, leading entity in the theme at the governmental level). Under this leadership, the project activities will be developing with the support and companion of the Technical Secretariat of CAPRADE, based in Lima.

All consultancies and workshops are carried out with the participation of the four (4) countries. It should be noted that according to the thematic of the Workshops, the government entities that will be invited and expenses would be assumed; having the planned project the maximum number of professionals invited per country.

The purpose of these workshops is to serve as a space to strengthen the technical capacities of the technical entities involved, at the national level, in the implementation of the actions defined as priorities through this proposal. Likewise, this dynamic will be established as a platform for the exchange of experiences among the Member Countries, which will promote, as far as is pertinent, the homogenization of actions based on good practices.

For example, for the Workshop contemplated in the product corresponding to the Design of the methodology to implement financial mechanisms in risk management, the government agencies responsible for financial mechanisms (the Ministries of Economy, Finance and Planning, as appropriate) participate and the responsible for the Disaster Risk Management Systems in the four countries of the CAN.

Risks and assumptions:

The identified risks are the following, as well as processes to overcome them.

| Risks | Process to overcome them |
|--|--|
| Changes in the authorities designated to participate in CAPRADE by each country. | CAPRADE is an intergovernmental Committee created in July 2002. Since the years of its creation, the presidency and the pro-tempore secretariat operate annually, moving from one country to another, following the agreed protocol. Before the change of national authorities after presidential elections, the new authorities are appointed who will represent the country before this organism of the CAN. At no time is there a vacuum of an authority responsible for each country, as they are State commitments. |
| Changes in the designation of the Technical Secretariat. | The General Secretary of the Andean Community is the one who designates this secretariat. It is an official position of the CAN, so in the case of a change, another person will be appointed. |
| Conflicts between Member States. | The CAN is an intergovernmental entity created on May 26, 1969, in the city of Quito. Ecuador. It has a solid institutional life, with agreed protocols and institutional processes that have allowed its existence despite conflicts between States. |
| Adequate administration of cooperation resources. | The line of Regional Cooperation in the Andean Community has followed an ascending process both in financial terms and in the definition of its operating policies. From an initial process |

| | |
|---|--|
| | focused on support for the institutional framework of the General Secretariat, including a process of complementing national policies, a moment is now being taken to concretely carry out cooperation actions for regional development. The functionality of the Regional Cooperation line recognizes the need for a rigorous, planned, efficient, joint and positive management, reflected in relevant instruments for the entire cycle of the projects and their administration. The CAN develops a plural and open policy of cooperation agreements with a horizontal sense, for the associated and shared venture of objectives and priority actions in the Andean integration strategy, with multilateral and bilateral organizations. |
| The pro-tempore presidency lasts only one year, while the project is for two years. | Although the pro-tempore presidency is run by a country for a year, however, the planning and administration instruments are agreed upon. The agreed planning instruments are the EAGRD Strategy, the Implementation Plan for the EAGRD, and from this plan, the objectives, results, and activities of this project are derived. As for the administration, it is CAN that administers the entire project under its procedures. The spirit of CAPRADE and its internal regulations is one of mutual cooperation. In case a country has problems to develop an agreed activity, another country may assume it, under the consensus of the members. |

Institutional changes to guarantee the achievement of this project:

CAPRADE, as a regional organization, has strategic planning 2017-2030, as well as its Implementation Plan. This is the result of this project, which are fully aligned with the internal planning of CAPRADE. The CAPRADE governing bodies mentioned above ensure that the project's actions are carried out under the SGCAN's administration and has the support of its Technical Secretariat. For correct detailed monitoring of the project, the project coordinator will be in charge, and for the correct follow-up of the administrative processes, the SGCAN administration will be available.

Investment Results - Gates Foundation Staff and Grantee to Collaborate

Provide specific details on the outcomes this investment will achieve (including those that define what success is for the investment), and the key outputs that signal whether the investment is on track. De

| Result Number | Result Description | Target Completion Date | Actual Completion Date | Payment Contingency |
|---------------|---|------------------------|------------------------|---------------------|
| 1.1 | Communications strategy for community engagement and education on disaster risk management in the Andean subregion. | Nov 2019 | | |
| 1.2 | Design of conceptual model for sub-regional disaster risk management information system (map existing systems). | March 2020 | | |
| 1.3 | Participation by CAPRADE in regional platform on disaster risk reduction (UNISDR, Jamaica) | June 2020 | | |
| 1.4 | Participation by CAPRADE in global platform and forums on disaster risk management (UNISDR). | June 2021 | | |
| 2.1 | Methodological tool for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (includes | Nov 2020 | | |

| | | | | |
|-----|---|------------|--|--|
| | experience sharing with local actors, prioritizing those along borders). | | | |
| 2.2 | Develop disaster risk management economic and social vulnerability index in the Andean subregion. | Dec 2020 | | |
| 3.1 | Guidelines for planning of post-disaster recovery in the Andean subregion. | Dec 2020 | | |
| 3.2 | Exchange at regional level and compilation of experiences with implementation of early warning systems in Andean subregion countries. | March 2020 | | |

SECTION B – BUDGET INFORMATION

The purpose of the budget narrative is to supplement the information provided in the Excel-based budget template by justifying how the budget cost elements are necessary to implement project activities and accomplish target outcomes. The budget information section is used to help foundation staff fully understand the budgetary needs of the project and is an opportunity to provide descriptive information about the key costs and risks that can't be easily communicated in the budget template. Together, this budget narrative and Excel budget should provide a complete quantitative and qualitative description that supports the proposed budget. The description provided in the budget template should be very brief. Please use this budget narrative to provide a thorough description of your budget and only complete questions that are relevant to your proposal.

Budget Summary - Grantee to Complete

Please explain the major cost drivers and how costs relate to planned activities and target outcomes. Also explain any potential risks in spending as budgeted and any plans to mitigate those risks.

If budgeting by outcomes, or additional dimension, please explain the major cost drivers per outcome or other relevant dimension.

All the costs are related to main activities to reach general objective and three main results.

Personnel: \$58,320

- SG CAN will hire a technical-thematic professional as a general coordinator of all project activities. The total cost \$58,320

Travel: \$40,773

- Participation of the four delegations of CAPRADE in the Regional Platforms of Disaster Risk Reduction UNISDR- Las Americas: \$12,100
- Participation of the four delegations of CAPRADE in the Global Platforms and forums of Disaster Risk Reduction organized by UNISDR (unknown site of this meeting yet): \$22,173
- Travels for personnel of the project to visit 4 countries to monitor activities or have meetings: \$6,500

Consultants: \$163,000

Almost all regional activities need the hiring of consultants to provide products to CAPRADE countries, in order to achieve the 3 mentioned results:

- Communications strategy for community engagement and education on disaster risk management in the Andean subregion: \$9,000
- Communication strategy virtual publication design: \$2,000
- Design of conceptual model for sub-regional disaster risk management information system (map existing systems): \$48,000

- Methodological tool for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (includes experience sharing with local actors, prioritizing those along borders): \$18,000
- Mapping vulnerability risk on poverty and threats: mapping, systematization of historical record of measurements; identification of the best methodology: \$20,000
- Design the double vulnerability index, linking poverty vulnerability with vulnerability to threats of natural origin: \$20,000
- Guidelines for planning of post-disaster recovery in the Andean subregion: \$10,000
- Document with compilation of experiences with implementation of early warning systems in Andean subregion countries: \$20,000
- External project evaluation: \$16,000

Other Direct Costs: \$93,384

Other direct costs are especially related to regional workshops and meetings:

- Workshop on communications strategy for community engagement and education on disaster risk management in the Andean subregion: \$14,000
- Capacity building meeting on disaster preparedness, response, recovery, and post-disaster reconstruction for volunteers and operational entities: \$13,642
- Validation workshop of disaster risk management economic and social vulnerability index in the Andean subregion: \$13,642
- Regional workshop to share experiences with implementation of early warning systems in Andean subregion countries: \$14,000
- 2 Regional ordinary meetings amongst Andean country delegates: \$27,000
- Stationary and small equipment (laptop, printer): \$5,623

Detailed Budget Information - Grantee to Complete

Personnel and Benefits: Provide a brief explanation of personnel budgeted, including responsibilities as they relate to the grant. Also include assumptions made for any staff budgeted which are to-be-hired, including salary estimates for these personnel. Describe the components of the benefits (column R of the "Budget Details" sheet) included with the salary costs. For example: pension, health insurance, expatriate costs, etc.

Travel: Provide rationale for the travel budgeted and assumptions used to determine appropriate number of trips and personnel required. Also include a brief rationale for how travel costs were estimated.

Consultants: Provide a brief description of the work to be performed by consultants in support of the overall project and describe any expenses that have been included.

Capital Equipment: Provide a brief justification and description of any items required for the project with a unit cost of greater than \$5,000 (USD) and a useful life of more than one year.

Other Direct Costs: Provide a brief description and rationale for other direct costs required, including cost assumptions used to develop the budget for these costs.

Personnel and benefits:

SG CAN will hire a technical-thematic professional as a project coordinator consultant according to SGCAN procedures. This person has only a total monthly fee without benefits. However, he/she needs to cover his/her social and health insurance.

Travel

Project coordinator will have travels to Countries Member, this to monitor the project activities and/or have meetings with national risk management entities.

The highest cost is for trips to Regional and Global Disaster Risk Reduction platform, organized by UNISDR.

A delegation of no more than 2 people per country is calculated, including the cost of airfare, food and lodging expenses, as well as the hotel.

Consultant

Consultants serving to the Countries Members will conduct several activities.

The total cost of every consultancy include fees per day (around \$300 per day), depending on the theme.

Some consultancies are related to prepare guides, documents, etc.

- Communications strategy for community engagement and education on disaster risk management in the Andean subregion \$9,000
 - 45 consulting days are estimated for a daily cost of \$ 200
- Communication strategy virtual publication design: \$2,000
 - It is the cost to design a virtual version of the communication strategy.
- Design of conceptual model for sub-regional disaster risk management information system (map existing systems): \$48,000
 - 60 consulting days' x \$300 daily fees =\$18,000, travel costs for 2 weeks visit to 4 countries \$12,200 and document elaboration \$9800; travel cost to 4 countries: \$8,000
- Methodological tool for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (includes experience sharing with local actors, prioritizing those along borders): \$18,000
 - 38 consulting days' x \$300 daily fees = \$11,400; travel costs for 1 week visit to 4 countries \$6,600.
- Mapping vulnerability risk on poverty and threats: mapping, systematization of historical record of measurements; identification of the best methodology: \$20,000
 - 40 consulting days' x \$300 daily fees = \$12,000; travel costs for 1 week visit to 4 countries \$8,000
- Design the double vulnerability index, linking poverty vulnerability with vulnerability to threats of natural origin: \$20,000
 - 43 consulting days' x \$300 = \$12,900; travel costs to visit 4 countries: \$7,100
- Guidelines for planning of post-disaster recovery in the Andean subregion: \$10,000
 - 30 consulting days' x \$300 = \$9,000; other costs: \$1,000
- Document with compilation of experiences with implementation of early warning systems in Andean subregion countries: \$20,000
 - 60 consulting days' x \$300 = \$18,000; virtual publication \$2,000
- External project evaluation: \$16,000
 - 30 consulting days' x \$300 daily fees =\$9,000, travel visit costs to 4 countries \$6,700

Other Direct Costs

Includes 5 regional workshops with representatives of the Countries Members of the Disaster Risk Management Systems; 2 ordinary regional meetings, the first one in Bolivia and second one in Colombia, depending of PPT (pro-tempore presidential). And some small equipment and stationary.

Regional workshops:

- Workshop on communications strategy for community engagement and education on disaster risk management in the Andean subregion: \$14,000
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 20 participants.
- Workshop to exchange experiences on methodological tools for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (prioritizing those along borders experiences): \$13,642
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 14 participants, some of them coming from countries borders.
- Validation workshop of disaster risk management economic and social vulnerability index in the Andean subregion: \$13,642
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 14 participants.

- Regional workshop to share experiences with implementation of early warning systems in Andean subregion countries: \$14,000
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 15 participants.

CAPRADE regional ordinary meetings:

- Regional ordinary meeting amongst Andean country delegates in Bolivia: \$15,000
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 16 participants.
- Regional ordinary meeting amongst Andean country delegates in Colombia: \$12,000
 - Costs include: air flight ticket from 3 countries delegation, hotel, taxis and food for 16 participants.

Stationary: \$3,600

Small equipment to support project activities (laptop, printer, toner, etc.): \$2,023

Sub-Awards - Grantee to Complete

List all sub-grantees or sub-contractors involved in this investment. Add more rows as needed.

| Type of Sub-Award (e.g., grant or contract) | Name | Corporate Entity Name (if applicable) | Mailing Address |
|--|------|--|-----------------|
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If separate budgets are required (see above), please also submit a separate budget template and narrative for each sub-award.

Describe the work each organization is going to perform as well as the rationale for each organization chosen to participate on this project as a sub-grantee. If organizations are TBD, include the assumptions used to estimate cost for the sub-award and the process and timeline you will be using to select these organizations.

Note: You will be required to submit the sub-award budget once final.

Indirect Cost Rate - Grantee to Complete

Briefly explain the indirect cost rate being charged on this project and the rationale and assumptions behind it.

N/A

Currency Exchange - Grantee to Complete

Briefly describe any foreign currency exchange exposure with this investment. Which costs included in the budget are exposed to exchange risk? How much do these costs total?

The SUNAT reference exchange rate is used - National Superintendence of Customs and Tax Administration of Peru. And with SBS - Superintendence of Banks and Insurance - in each country the national currency is managed, but it is expected that this will not affect the activities of the Project. Most of the activities will be developed in Peru, Bolivia and Colombia. In each country the currency is different and the exchange rate in relation to the dollar also differs. However, inflation in these countries is controlled.

Other Sources of Support for this Project - Grantee to Complete

If you are requesting funding from the foundation for only a portion of this project and will depend on funds from other sources, please describe your contingency plans if full project funding does not become available. If you have applied for funding from other sources which overlap with the funding requested in this proposal, please indicate the nature and timing of that potential funding. Any expected in-kind contributions (e.g. drug donations, personnel time) should be included in the description.

NOTE: Names of the other sources and their expected dollar (U.S.\$) contributions should be included on the 'Financial Summary & Reporting' sheet of the budget in the Funding Plan table.

N/A

Location of Work - Grantee to Complete

List all countries and sub-regions/states where this work would be performed and associated dollar amounts. If location of work includes the United States, indicate city and state. Add more rows as needed. More information about Geographic Location of Work can be found [here](#).

| Location | Foundation Funding (U.S.\$) |
|----------|-----------------------------|
| Bolivia | \$88,869.25 |
| Colombia | \$88,869.25 |
| Ecuador | \$88,869.25 |
| Peru | \$88,869.25 |

Many countries, including India, Vietnam and Bangladesh, require organizations conducting activities funded with foreign funds to comply with local registrations or other requirements. These restrictions may apply to funds you subgrant under this project. Please confirm that your organization will ensure compliance with any such requirements.

Confirmed ___

Not applicable ___ (please explain)

Geography Served - Grantee to Complete

List all countries and sub-regions/states that would benefit from this work and associated dollar amounts. If areas to be served include the United States, indicate city and state. Add more rows as needed. More information about Geographic Areas to Be Served can be found [here](#).

| Location | Foundation Funding (U.S.\$) |
|----------|-----------------------------|
| Bolivia | \$88,869.25 |
| Colombia | \$88,869.25 |
| Ecuador | \$88,869.25 |
| Peru | \$88,869.25 |

SECTION C – ROLES & RESPONSIBILITIES

Conduct and Control of the Project - Grantee to Complete

In answering the questions in this section, please consider all Project activities, such as those involving: confidential or protected information (including personally identifiable information or protected health information); the inclusion of children or vulnerable populations; research involving human subjects; clinical trials; post-approval studies; field trials; experimental medicine; provision of medical services (diagnostic, prophylactic or treatment); product development; use of genetically modified organisms, human tissue, animals, radioactive isotopes, pathogenic organisms, recombinant nucleic acids, select agents or toxins (www.selectagents.gov), dual-use technology (https://build.export.gov/main/regulation/eq_main_Q18229), or any substance, organism, or material that is toxic or hazardous; use of aircraft, unmanned vehicle systems, drones or satellites; and the import, export, transfer, approvals, consents, records, data, specimens, images, and materials related to any of the foregoing.

Please confirm that your organization:

- will maintain the expertise necessary to conduct, control, manage, and monitor all aspects of the Project in compliance with all applicable ethical, legal, regulatory, and safety requirements including applicable international, national, state, local, and institutional, school district or school network standards and policies and is responsible for determining and complying with these requirements and standards;
- will not disclose any confidential or protected information to the Foundation without obtaining prior written approval from the foundation and all necessary consents to disclose such information;
- acknowledges that any activities by the Foundation in reviewing documents, providing input or funding does not modify your organization's responsibility for determining and complying with all applicable ethical, legal, regulatory, and safety requirements for the Project in all places;
- is a government agency, public institution or multilateral organization or will otherwise maintain insurance coverage sufficient to cover the activities, risks, and potential omissions of the Project in accordance with generally-accepted standards and as required by law (for instance, general, professional, clinical trial, product liability, medical malpractice, workers' compensation, or otherwise);
- will not transfer any biological materials, chemicals, reagents, hazardous materials or the like to the Foundation.

Confirmed

Not confirmed (please explain)

Global Access and Open Access - Grantee to Complete

In order to establish that the projects we fund are charitable and will have a positive impact on the intended beneficiaries of our work, the foundation requires the projects it funds be conducted and managed in a manner that ensures Global Access and Open Access.

“Global Access” is a foundation policy requiring that: (a) the knowledge and information gained from the project will be promptly and broadly disseminated; and (b) the Funded Developments will be made available and accessible at an affordable price (i) to people most in need within developing countries, or (ii) in support of the U.S. educational system and public libraries, as applicable to the project.

“Funded Developments” means the products, services, processes, technologies, materials, software, data, other innovations, and intellectual property resulting from the project, including modifications, improvements, and further developments to Background Technology.

“Background Technology” means any and all products, services, processes, technologies, materials, software, data, or other innovations, and intellectual property created by You or a third party prior to or outside of the project used as part of the project.

Additional information about Global Access (including examples and case studies) can be found at <http://globalaccess.gatesfoundation.org/>.

“Open Access” is a foundation policy that sets the requirements, terms and conditions for publication of Funded Developments in a peer-reviewed journal. Additional Information on the foundation’s Open Access Policy for peer-reviewed publications and underlying data can be found at www.gatesfoundation.org/How-We-Work/General-Information/Open-Access-Policy. Note: the foundation will pay directly for reasonable fees to effect publication on “open access” terms; such fees should not be included in the project budget (See the [Open Access Policy FAQs](#) for further detail).

a) **Knowledge and Information**

Describe how the knowledge and information gained from the project will be promptly and broadly disseminated (including how you will comply with the foundation’s Open Access Policy, discussed above).

CAPRADE usually use Andean Community website to share documents and information. Also, Technical Secretary of CAPRADE share documents and information via email and chats.

All CAPRADE documents of this project will be open and share with DRR community.

b) **Funded Developments** (Indicate “not applicable,” as appropriate)

- i. Describe any Funded Developments that may ultimately result from the project, including any Background Technology that will be used or incorporated in the proposed project. If applicable, briefly explain how the Funded Developments will be made available and accessible at an affordable price to the intended beneficiaries. The use of commonly-available, off-the-shelf products (such as Microsoft Excel, Adobe, etc.) need not be disclosed.

- ii. Please confirm that you will make the Funded Developments – including any Background Technology incorporated into or required to use the Funded Developments – available to achieve the proposed project’s goals and Global Access. If you foresee any obstacles to achieving Global Access (e.g., third party rights, broad access, time frame, affordability) please briefly summarize the obstacles and the specific steps that you will take to address them.

In the present Project, products will be developing such as publications as results of investigations; reports of regional workshops; CAPRADE meeting agreements, as well as participation reports in events such as the regional and global platforms organized by UNISDR. All these products will be publishing immediately through the SGCAN website, as well as the web of national risk management systems. The goal is to reach as many people as possible, disseminate knowledge so that disaster risk management is in the public domain.

c) **Intellectual Property**

Please indicate which, if any, of the following applies to your project:

- Creation of Funded Developments will likely involve new IP rights (Note: copyrights in works intended to be published in accordance with the Open Access Policy need not be disclosed)
- Use of Background Technology requires access to existing IP rights
- For-Profit entities are engaged in the project

If any of the above are present, please complete an [Intellectual Property \(IP\) Report](#).

If none of the above apply, please acknowledge by typing "N/A": _____

Advocacy and Lobbying - Grantee to Complete

While the foundation funds a broad range of advocacy activities, US law prohibits foundation funds from being earmarked to support direct or grassroots lobbying communications. Describe how this project will be conducted in compliance with these rules, as summarized in the [Advocacy Guidelines Handout](#), and any other relevant local, state, or non-US lobbying laws. If foundation grant funds will be earmarked to influence policies, budgets, innovations, frameworks, action plans, etc., that could require a legislative vote, explain how such "legislative" activities will be conducted in accordance with the applicable rules and exceptions. Your explanation should address both direct and grassroots communications. If this investment does not include advocacy activities, indicate in the space below.

CAPRADE will do not advocacy work, does not seek to change any national or regional law with this project.

SECTION D – PROJECT-SPECIFIC QUESTIONS

Measurement and Evaluation - Grantee to Complete

Describe your plan for monitoring and evaluation of the outputs and outcomes identified in the narrative above. Specifically address:

1. The learning/evaluation questions for this investment and how you plan to answer them through monitoring and/or evaluation;
2. The resources (financial, technical, human) you need to ensure high quality monitoring and/or evaluation data; and
3. If you are planning a formal evaluation, describe when it will be conducted during the grant, who will conduct it (external/third party or not), the methodology you will consider, and how the main evaluation audiences will use the findings.

See the foundation's [evaluation policy](#) for reference.

The Technical Secretariat of SGCAN / CAPRADE, together with the PTT in turn, will follow up the project's activities through its annual operating plan. A periodic report will be preparing for the national and sub-regional authorities. Likewise, a consultancy will be hire to evaluate the project and disseminate the lessons learned.

During the first annual project, Bolivia DRR authorities will have Pro tempore presidential (between June 2019 to May 2020); and Colombia DRR authorities will have this pro tempore presidential in the second year of the project (between June 2020 to May 2021). There is an annual pro tempore presidential according to CAPRADE rules.

SG-CAN and ST-CAN (General Secretary and Technical Secretary of Andean Community) will have permanent monitor to the entire project.

SECTION E – PROGRESS NARRATIVE

If this grant is approved and activated, complete this section for each progress report and submit to your foundation Program Officer and Investment Coordinator on the date indicated in your grant agreement or latest amendment.

- Use this section to provide updates to your foundation Program Officer regarding progress made toward achieving your project's stated outputs and outcomes.
- The Progress and Final Narratives must be submitted in Word, as PDFs will not be accepted.
- Please remember to update the Actual Completion Dates in the Investment Results Chart at the end of Section A. If you'd like to edit any other information in this chart beyond the Actual Completion Dates, please consult with your BMGF Program Officer.

For multiple narratives, copy and paste the below questions/fields (up to Section F - Final Narrative) for each narrative.
DO NOT OVERWRITE EXISTING NARRATIVES.

| | | | |
|---|----|--------------------|--|
| Scheduled Payment Amount (if applicable) | \$ | Reporting Due Date | |
| Reporting Period Start Date | | Completed By | |
| Reporting Period End Date | | | |

By submitting this report, I declare that I am authorized to certify, on behalf of the grantee or vendor identified on page 1, that I have examined the following statements and related attachments, and that to the best of my knowledge, they are true, correct and complete. I hereby also confirm that the grantee or vendor identified on page 1 has complied with all of the terms and conditions of the Grant Agreement or Contract for Services, as applicable, including but not limited to the clauses contained therein regarding Use of Funds, Anti-Terrorism, Subgrants and Subcontracts, and Regulated Activities.

Progress Details

Provide information regarding the current period's progress toward achieving the investment outputs and outcomes as well as the work planned or anticipated for the next period. Please also remember to update the completion dates in the Investment Results Chart at the end of Section A.

Project Adjustments

For each outcome or output that is behind schedule or under target, explain what adjustments you are making to get back on track.

Feedback for the Foundation

Provide one to three ways the foundation has successfully enabled your work so far. Provide one to three ways the foundation can improve.

Global Access and Open Access

If your funding agreement is subject to Intellectual Property Reporting, please update the Intellectual Property Report you submitted with your initial proposal materials with any changes, as applicable.

If not, please acknowledge by typing "N/A": _____

Roles and Responsibilities

Do you represent that the project activities were conducted in compliance with all applicable ethical, legal, regulatory and safety requirements?

Yes

No (If no, please explain below)

Are any new activities¹ planned which were not described in any documents previously submitted to the foundation?

No

Yes (If yes, please explain below)

¹ In answering this question, please consider all new Project activities, such as those involving: confidential or protected information (including personally identifiable information or protected health information); the inclusion of children or vulnerable populations; research involving human subjects; clinical trials; post-approval studies; field trials; experimental medicine; provision of medical services (diagnostic, prophylactic or treatment); product development; use of genetically modified organisms, human tissue, animals, radioactive isotopes, pathogenic organisms, recombinant nucleic acids, select agents or toxins (www.selectagents.gov), dual-use technology (https://build.export.gov/main/regulation/eq_main_018229), or any substance, organism, or material that is toxic or hazardous; use of aircraft, unmanned vehicle systems, drones or satellites; and the import, export, transfer, approvals, consents, records, data, specimens, images, and materials related to any of the foregoing.

FINANCIAL UPDATE

The purpose of the Financial Update section is to supplement the information provided in the "Financial Summary & Reporting" sheet in the foundation budget template, which reports actual expenditures and projections for the remaining periods of the grant. This section is a tool to help foundation staff fully understand the financial expenditures across the life of the project. Together, the Financial Update section and budget template ("Financial Summary & Reporting" sheet) should provide a complete quantitative and qualitative explanation of variances to approved budget.

Financial Summary

Briefly describe how total project spending to date compares against the budget and how your assumptions may have changed as the project progressed.

Latest Period Variance

Provide explanation for any cost category variances outside the allowable range. Explain causes, consequences for the project, and mitigation plans if relevant. Report whether or not approval for the variance has been obtained from your program officer.

Note: "Latest period variance" compares actuals to previous projections for the period. See "Financial Summary & Reporting" sheet in the foundation budget template for calculated variance. Allowable variance is defined in your grant agreement.

Total Grant Variance

Provide explanation for any cost category variances outside the allowable range. Explain causes, consequences for the project, and mitigation plans if relevant. Report whether or not approval for the variance has been obtained from your Program Officer.

Note: "Total grant variance" compares actuals plus current projections to the budget. See "Financial Summary & Reporting" sheet in the foundation budget template for calculated variance. Allowable variance is defined in your grant agreement.

Sub-Awards (if applicable)

Use the chart to provide the name(s) of the sub-grantee(s), actual disbursement for this reporting period, total disbursement to date from the primary grantee to sub-awardee, total spend to date by the sub-awardee, and total contracted amount.

Note: The total of actual disbursements for this reporting period should equal the actual sub-awards expenses reported on the "Financial Summary & Reporting" sheet in the foundation template for this reporting period.

| Organization Name | Actual Disbursement for this Reporting Period (U.S.\$) | Total Disbursed from Primary Awardee to Sub to Date (U.S.\$) | Total Sub-Awardee Spent to Date (U.S.\$) | Total Contracted Amount (U.S.\$) |
|-------------------|--|--|--|----------------------------------|
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |

Subgrants

If your grant agreement is subject to expenditure responsibility and permits you to make subgrants to organizations that are not U.S. public charities or government agencies/instrumentalities, please complete the [Subgrantee Checklist](#) and attach a copy with this progress narrative for each such subgrantee.

Other Sources of Support (if applicable)

List and describe any sources of in-kind project support or resources received in the reporting period.

Note: Names of the other sources of funding and their contributions (U.S.\$) should be included in the budget template on the "Financial Summary & Reporting" sheet in the foundation budget template in the Funding Plan table.

Describe how interest earned and/or currency gains were used to support the project.

SECTION F – FINAL NARRATIVE

If this grant is approved and activated, complete this section at grant's end and submit to your foundation program office and program coordinator on the date indicated in your grant agreement or latest amendment.

- Use this form to provide your final update to your foundation program officer regarding the results achieved for the entire project.
- In addition, please provide your perspective on key lessons learned or takeaways and input on the foundation's support of your work to ensure that we can capture and share learnings as appropriate both internally and externally.
- The Final Narrative must be submitted in Word, as PDFs will not be accepted.

| | | | |
|-----------------------------|----------------------|------------------------------------|-------------------------|
| Reporting Period Start Date | <input type="text"/> | Reporting Due Date | <input type="text"/> |
| Reporting Period End Date | <input type="text"/> | Remaining Funds (If applicable) | \$ <input type="text"/> |

By submitting this report, I declare that I am authorized to certify, on behalf of the grantee or vendor identified on page 1, that I have examined the following statements and related attachments, and that to the best of my knowledge, they are true, correct and complete. I hereby also confirm that the grantee or vendor identified on page 1 has complied with all of the terms and conditions of the Grant Agreement or Contract for Services, as applicable, including but not limited to the clauses contained therein regarding Use of Funds, Anti-Terrorism, Subgrants and Subcontracts, and Regulated Activities.

Final Progress Details

Provide information regarding the entire investment's progress towards achieving the investment outputs and outcomes. In addition, submit the [investment results chart](#) with actual results as requested. If you'd like to edit any other information in this chart beyond the completion dates, please consult with your BMGF Program Officer.

Lessons Learned

Describe the top one to three takeaways or lessons learned from this project. If you were to do this project again, how would you approach it differently, if at all?

Feedback for the Foundation

Provide one to three ways the foundation successfully enabled your work during this project. Provide one to three ways the foundation can improve.

Global Access and Open Access

If your funding agreement is subject to Intellectual Property Reporting, please update the Intellectual Property Report you submitted with your last progress narrative with any changes, as applicable.

If not, please acknowledge by typing "N/A": _____

Roles and Responsibilities

Do you represent that the project activities¹ were conducted in compliance with all applicable ethical, legal, regulatory and safety requirements?

Yes

No (If no, please explain below)

activities include but are not limited to: clinical trials; research involving human subjects; provision of diagnostic, prophylactic, medical or health services; experimental medicine; the use of human tissue, animals, radioactive isotopes, pathogenic organisms, genetically modified organisms, recombinant nucleic acids, Select Agents or Toxins (www.selectagents.gov), Dual Use technology (http://export.gov/regulation/eg_main_018229.asp), or any substance, organism, or material that is toxic or hazardous; as well as the approvals, records, data, specimens, and materials related to any of the foregoing.

FINANCIAL UPDATE

The purpose of the Financial Update section is to supplement the information provided in the "Financial Summary & Reporting" sheet in the foundation budget template, which reports actual expenditures. This section is a tool to help foundation staff fully understand the financial expenditures across the life of the project. Together, the Financial Update section and budget template ("Financial Summary & Reporting" sheet) should provide a complete quantitative and qualitative explanation of variances to approved budget.

Financial Summary

Briefly describe how total project spending compared against the budget and how your assumptions changed as the project progressed.

Latest Period Variance

Provide explanation for any cost category variances outside the allowable range. Explain causes, consequences for the project, and mitigation plans if relevant. Report whether or not approval for the variance has been obtained from your Program Officer.

Note: "Latest period variance" compares actuals to previous projections for the period. See "Financial Summary & Reporting" sheet in the foundation budget template for calculated variance. Allowable variance is defined in your grant agreement.

Total Grant Variance

Provide explanation for any cost category variances outside the allowable range. Explain causes, consequences for the project, and mitigation plans if relevant. Report whether or not approval for the variance has been obtained from your Program Officer.

Note: "Total grant variance" compares actuals plus current projections to the budget. See "Financial Summary & Reporting" sheet in the foundation budget template for calculated variance. Allowable variance is defined in your grant agreement.

Sub-Awards (if applicable)

Use the chart to provide the name(s) of the sub-grantee(s) or subcontractor(s), actual disbursement for this reporting period, total disbursement to date from the primary grantee to sub-awardee, total spend to date by the sub-awardee and total contracted amount.

Note: The total of actual disbursements for this reporting period should equal the actual sub-awards expenses reported on the "Financial Summary & Reporting" sheet in the foundation template for this reporting period.

| Organization Name | Actual Disbursement for this Reporting Period (U.S.\$) | Total Disbursed from Primary Awardee to Sub to Date (U.S.\$) | Total Sub-Awardee Spent to Date (U.S.\$) | Total Contracted Amount (U.S.\$) |
|-------------------|--|--|--|----------------------------------|
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |
| | \$ | \$ | \$ | \$ |

Subgrants

If your grant agreement (not applicable to contracts) is subject to expenditure responsibility and permits you to make subgrants to organizations that are not U.S. public charities or government agencies/instrumentalities, please complete the [Subgrantee Checklist](#) and attach a copy with this progress narrative for each such subgrantee.

Other Sources of Support (if applicable)

List and describe any sources of in-kind project support or resources received in the reporting period.

Note: Names of the other sources of funding and their contributions (U.S.\$) should be included in the budget template on the "Financial Summary & Reporting" sheet in the foundation budget template in the Funding Plan table.

Describe how interest earned and/or currency gains were used to support the project.

Privacy and Non-Confidentiality Notice

The foundation is required by the IRS to publish a list of its grants. We may also provide a general description of our grants and contracts on our web sites, in press releases, and in other marketing materials. Subject to the foundation's [Privacy Policy](#), the foundation may also share information you provide to us (either orally or in writing) with third parties, including external reviewers, key partners and co-funders. This document is subject to the foundation's [Terms of Use](#).

IMPORTANT TIPS - PLEASE READ BEFORE USING THIS TEMPLATE

In order to use the automated features of this template, you must do two things

- 1) Download and work off of a local copy of the file
- 2) Select "Enable Content" at the top of the workbook. If you do not see the prompt upon opening the template, you can do so manually by going to File > Options > Trust Center, and enabling from within the "Trust Center Settings." Detailed instructions and visual aids can be found on [page 2 of the RF/RT Tool User Guide](#)

How to Work Within the Template

- 1) **Use Copy/Paste with caution:** When copying/pasting information from other cells or files, you can only use the "paste values" feature, *otherwise it will break the automated features of this template, and you will lose your work.* For detailed instructions on how to paste values, or other copy/paste options, see [page 13 of the RF/RT Tool User Guide](#)
- 2) **Do not change file extension ".xism":** This is essential for the automated features to work, and will cause them to break if changed. This can result in lost work, and cannot be recovered.
- 3) **Making changes to your work:** After you have aligned with your PO on the *Results Framework*, populate the *Results Tracker*, using the "Populate Results Tracker" button. If you need to update any of your results, make those changes in the *Results Tracker - Current* tab, and use the "Update Results Framework" button to push those edits back to the Results Framework. For detailed instructions and visual aids, see [page 10 of the RF/RT Tool User Guide](#)
- 4) **How to show updates/changes:** For options on how to share proposed changes and edits, see [pages 14 - 15 of the RF/RT Tool User Guide](#)

In the event that macros become disabled for other reasons, the button to the right will restore macros from minor disruptions:

Restore Macros

Accessing guidance & other resources

For additional information on how to use the features in this tool as well as general instructions, consult the [RF/RT Tool User Guide](#)

For definitions of column headers and terms used throughout the form, consult the [Terms and Definitions](#)

For detailed guidance on Outcome Investing concepts (e.g. crafting outcome statements, characteristics of a quality Results Framework), consult the [Quality Outcomes & Results Frameworks guidance note](#)

Last updated: 10/26/2015

Results Framework

Use this form to capture a snapshot of the logical relationship between the expected results of this project (outcomes and outputs) and the foundation's strategic goals to which those results contribute. It should also be used to identify the key outcomes and outputs that will be monitored or evaluated throughout the life of the project. The Results Framework will be developed collaboratively by you and your foundation program officer.

Additional guidance for the tool can be found [here](#).

| | | | |
|-------------------------|---|-----------------|---------------|
| Investment Title | Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community | | |
| Organization Legal Name | General Secretary of the Andean Community | | |
| Primary Contact | Silvia Helden Molfino | Program Officer | Pilar Pacheco |
| Date Submitted | 22/04/2019 | Opportunity ID | INV-001009 |

1. Gates Foundation Strategic Goals

This section is completed by the foundation. Do not edit.

1. Strategic Goals

The following includes the 3-5 year strategic results of an initiative, sub-initiative or portfolio towards which the investment contributes most directly (identified in the foundation's strategy scorecard):

Gates Foundation staff to populate this with information from the Internal Concept Brief before sending to the prospective grantee/vendor.

2. Strategic Fit

This investment contributes to the strategic goal as follows:

Gates Foundation staff to populate this with information from the Internal Concept Brief before sending to the grantee/vendor. (Note: edits may need to be made to the strategic fit text from the Internal Concept Brief in order to provide the appropriate context for an external audience)

2. Investment Results Framework

Provide specific details on the outcomes this investment will achieve (including those that define what success is for the investment), and the key outputs that signal whether the investment is on track. See the 'Before you Begin' tab for links to additional guidance on using the tool and a completed example Results Framework.

| Investment: Primary Outcome(s) | Investment: Intermediate Outcome(s) | Investment: Output(s) |
|--|--|--|
| <p><i>The ultimate or overall change(s) in technologies, systems, populations or behaviors the investment seeks to achieve within the context of the investment timeframe; tells us what success looks like for the investment.</i></p> <p>Check ID Box if For Reporting</p> | <p><i>Short- to medium-term changes in technologies, systems, populations or behaviors that need to be achieved to realize the primary outcome(s) the investment is working towards; may not be needed for all investments.</i></p> <p>Check ID Box if For Reporting</p> | <p><i>The goods, services, events, or deliverables produced during an investment.</i></p> <p>Check ID Box if For Reporting</p> |
| <p><input checked="" type="checkbox"/> 1 x</p> <p>Strengthen the technical and institutional capacities for disaster risk management of national systems in the CAPRADE member countries.</p> | <p><input checked="" type="checkbox"/> 1.1 x</p> <p>Strengthen strategic partnerships, sharing of good practices and experiences, technology, data, and information on disaster risk management in the Andean subregion.</p> | <p><input checked="" type="checkbox"/> 1.1.1 x</p> <p>Communications strategy for community engagement and education on disaster risk management in the Andean subregion.</p> <p>Add Another</p> <p><input checked="" type="checkbox"/> 1.1.2 x</p> <p>Design of conceptual model for subregional disaster risk management information system (map existing systems).</p> <p>Add Another</p> <p><input checked="" type="checkbox"/> 1.1.3 x</p> <p>Participation by CAPRADE in regional platform on disaster risk reduction (UNISDR, Jamaica)</p> <p>Add Another</p> <p><input checked="" type="checkbox"/> 1.1.4 x</p> <p>Participation by CAPRADE in global platform and forums on disaster risk management (UNISDR).</p> <p>Add Another</p> |
| | <p><input checked="" type="checkbox"/> 1.2 x</p> | <p><input checked="" type="checkbox"/> 1.2.1 x</p> |

Strengthen disaster risk reduction capacity of local actors in the Andean subregion.

Methodological tool for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (includes experience sharing with local actors, prioritizing those along borders).

[Add Another](#)

1.2.2 x

Develop disaster risk management economic and social vulnerability index in the Andean subregion.

[Add Another](#)

[Add Another](#)

1.3 x

Strengthen cooperation and institutional capacities for preparedness, response, recovery, and reconstruction, with a prevention and mitigation approach, in the Andean subregion

1.3.1 x

Guidelines for planning of post-disaster recovery in the Andean subregion.

[Add Another](#)

[Add Another](#)

[Add Another](#)

1.3.2 x

Exchange at regional level and compilation of experiences with implementation of early warning systems in Andean subregion countries.

[Add Another](#)

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[Privacy Policy](#)

[Terms of Use](#)

Results Tracker

Use this form collaboratively with your foundation program officer during the Investment Development phase to track against targets and on the approach to measuring and tracking results over the life of the project. You will continue to use the Results Tracker during the Management & Close phase to document actuals and variance against targets as the project progresses. See the [Before you Begin](#) tab for links to additional guidance on using the tool.

Investment Title: Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community
 Organization Legal Name: General Secretary of the Andean Community
 Primary Contact: Silvia Heiden Maffino
 Date Submitted: March 21, 2019
 Program Officer: Pilar Pacheco
 Opportunity ID: INV-001009

| ID | Outcome/Output | Indicators (s) | Data Sources (If Applicable) | Baseline (If Applicable) | Payment Contingency | Comments | Delete Period | | | Add Another Period | | |
|----|--|----------------|------------------------------|--------------------------|--------------------------|----------|----------------------|--------------------|-----------|----------------------|--------------------|-----------|
| | | | | | | | Reporting Start Date | Reporting End Date | Duration | Reporting Start Date | Reporting End Date | Duration |
| X | 1.1 - Strengthen the technical and institutional capacities for disaster risk management of national systems in the CAPRADE member countries. | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1 - Strengthen strategic partnerships, sharing of good practices and experiences, technology, data, and information on disaster risk management in the Andean subregion. | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1.1 - Communications strategy for community engagement and education on disaster risk management in the Andean subregion. | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1.2 - Design of conceptual model for subregional disaster risk management information system (map existing systems). | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1.3 - Participation by CAPRADE in regional platform on disaster risk reduction (UNISDR, Jamaica) | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1.4 - Participation by CAPRADE in global platform and forums on disaster risk management (UNISDR). | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.1.5 - Strengthen disaster risk reduction capacity of local actors in the Andean subregion. | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.2.1 - Methodological tool for implementation of financial mechanisms for disaster risk management at the local level, in the Andean subregion countries (includes experience sharing with local actors, prioritizing those along borders). | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |
| X | 1.2.2 - Develop disaster risk management economic and social vulnerability index in the Andean subregion. | | | | <input type="checkbox"/> | | DD Month YYYY | DD Month YYYY | XX Months | DD Month YYYY | DD Month YYYY | XX Months |

| | | | | | | | | | |
|---|-------|---|--------------------------|--|--|--|--|--|--|
| X | 1.2 | Strengthen cooperation and institutional capacities for preparedness, response, recovery, and reconstruction, with a prevention and mitigation approach, in the Andean subregion. | <input type="checkbox"/> | | | | | | |
| X | 1.3.1 | Guidelines for planning of post-disaster recovery in the Andean subregion. | <input type="checkbox"/> | | | | | | |
| X | 1.3.2 | Exchange at regional level and compilation of experiences with implementation of early warning systems in Andean subregion countries. | <input type="checkbox"/> | | | | | | |

Granting / Vraagstukken

Use this section to provide comments and/or additional context regarding the outcomes/outputs above. Be sure to reference the outcome(s)/output(s) referred to in the comment.

Privacy and Non-confidentiality Notice
 The Foundation is required by the IRS to publish a list of its grantees. We may also provide a general description of our grants and contracts on our web site, in press releases, and in other marketing materials. Subject to the Foundation's privacy policy, the Foundation may share information you provide to us (either orally or in writing) with third parties, including without limitation, our partners and contractors. This document is subject to the Foundation's Terms of Use.

Results Tracker

Use this form collaboratively with your foundation program officer during the Investment Development phase to agree upon targets and on the approach to measuring and tracking results over the life of the project. You will continue to use the Results Tracker during the Management & Close phase to document actuals and variances against targets on the project progress.

See the [Before you Begin](#) tab for links to additional guidance on using the tool.

Investment Title: Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community
 Organization Legal Name: General Secretary of the Andean Community
 Primary Contact: Silvia Heiden Molino
 Date Submitted: March 21, 2019
 Program Officer: Pilar Pacheco
 Opportunity ID: INV-001009

For additional tips, click on the header of each column. Additional guidance for the tool can be found [here](#).

| ID | Outcome/Output | Indicator(s) | Data Sources (If Applicable) | Baseline (If Applicable) | Payment Contingency | Comments |
|----|----------------|--------------|------------------------------|--------------------------|---------------------|----------|
|----|----------------|--------------|------------------------------|--------------------------|---------------------|----------|

Grantee / Vendor Comments

Use this section to provide comments and/or additional context regarding the outcome/output above. Be sure to reference the outcome(s)/output(s) referred to in the comment.

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Add Another Period

Reporting Period 1

Reporting Start Date: DD Month YYYY
 Reporting End Date: DD Month YYYY
 Duration: XX Months

Cumulative

Reporting Start Date: DD Month YYYY
 Reporting End Date: DD Month YYYY
 Duration: XX Months

Targets/Milestones: Actual Variance

Troubleshooting

I am able to open the workbook, but none of the buttons/features are working.

First, double-check the version of MS Excel you are using; if it is **2010 or newer**, refer to the steps below in this section. If you are using a version that was released between 2007 – 2007, or Office for Mac, please reach out to your foundation contact with the version of Excel you are using, and they will send you a compatible form

In order for the features to work, you will need to:

- 1) save a copy of the file to your computer, and work off of this local copy, and
- 2) ensure that you have enabled the content

If you are not prompted to enable content upon opening the workbook, there are instructions in the first tab, as well as on **page 2 of RFR/RT Tool User Guide**

The cell did not expand enough to reveal the full text of what I entered

In some cases, cells may not completely expand after you have entered text, such that some of the text appears cut-off. In order to expand the row height, double click in the cell activate the cursor, and then hit Alt+Enter twice to add two new lines, then hit enter and the cell should automatically expand.

I am returning to the workbook, and the buttons/features no longer work.

Ensure that you are working off of a "local" copy of your workbook (e.g. on your hard drive), and that the macros have been enabled. If you are not sure that the macros have been enabled, consult **page 2 of the RFR/RT Tool User Guide**. If you continue to have trouble, check for the following:

- 1) Ensure that the file extension is .xlsm. If this has been changed at any point, the features will be permanently disabled and **cannot be recovered**. You will need to either start from an earlier saved iteration before the extension was changed, or a new template all together
- 2) Re-Start Excel. If you have multiple Excel workbooks open, close all your workbooks and then only open the files you need, to ensure there is enough memory for the feature to work

If the file extension is correct, and Excel has been restarted, but the problem continues, there is a high likelihood that the error is due to a previous pasting of information copies within this form or other workbooks. If this has happened, you will need to start from an earlier saved version or a new workbook, as the features will have been permanently disabled and **cannot be recovered**. If you need to copy/paste, make sure that you "paste values" **ONLY**. For detailed instructions on how to use "paste values," or other copy/paste options, you can refer to **Page 13 of the RFR/RT Tool User Guide**

I made changes to my results in the Results Framework, clicked the "Populate Results Tracker" button, and it cleared all of my entries from the Results Tracker – Current tab.

When adding new or making edits to existing results, it is important to remember to make these changes in the *Results Tracker – Current* tab and use the "Update Results Framework" button to push your changes to the *Results Framework*. This will push only the additions/edits made to the results themselves back to the Results Framework, while retaining your entries in all of the other fields. For detailed instructions and visual aids, see **page 10 of the RFR/RT Tool User Guide**

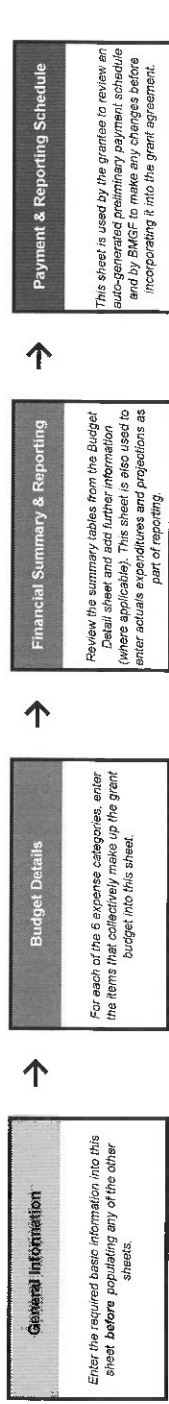
If you have already made the changes to the *Results Framework* and used the "Populate Results Tracker" button, your work will be retained in the *Results Tracker – Previous* tab. Because you are not able to undo this action, you can either return to an earlier iteration of the workbook, or use "Paste Values" to copy the appropriate inputs from the *Results Tracker – Previous* tab into the *Results Tracker – Current* tab. Instructions on how to copy and paste "values" can be found on **page 13 of the RFR/RT Tool User Guide**

QUICK START GUIDE

This page provides a quick overview of the BMGF budget template to orient you before getting started. As you populate the sheets, you will find direct links to specific instructions with examples throughout the template. The full instruction document can be accessed here. Frequently Asked Questions (FAQs) can be accessed here.

Grantee Input Sheets

These first three sheets need to be filled out by the grantee for every grant.



Optional: Grantees can comment on the auto-generated preliminary payment schedule here.

General Information

Enter the required basic information into this sheet, before populating any of the other sheets.

Budget Details

For each of the 6 expense categories, enter the items that collectively make up the grant budget into this sheet.

Financial Summary & Reporting

Review the summary tables from the Budget Detail sheet and add further information (where applicable). This sheet is also used to enter actuals expenditures and projections as part of reporting.

Payment & Reporting Schedule

This sheet is used by the grantee to review an auto-generated preliminary payment schedule and by BMGF to make any changes before incorporating it into the grant agreement.

Important information for working with the template

Legend for cell formatting

Input cells are colored according to the following scheme. At the time of budgeting, populate only light yellow cells.

Enter information into light yellow cells
Enter additional expenditures into green cells
Blue cells will be populated by BMGF

Cash vs. Projected Actuals (Accruals)

All actual amounts reported should be based on cash spent, not PROJECTED (or ACCRUED) for the remainder of the period.

Hints for specific data entry fields

For some fields, a red triangle in the upper right corner indicates that a hint is available when hovering the mouse over the cell.



Error checking

For some cells, an automatic error check will show its value in red if it appears to be populated incorrectly or if the calculation yields a result that is inconsistent with another value.



Copying data into the template

Use **Paste Values** whenever transferring data from an external source into the template. If not, the template can become corrupted.



In addition to the three sheets described above, there are several additional sheets included in the template, some of them optional and/or hidden. Grantees do not need to use these additional sheets, but for transparency, you will find an overview below.

If you would like to include additional information (or are asked for it by BMGF) in this file, it is OK to add extra sheets for this purpose. However, please do not make any changes to the structure, formatting or formulas of the existing sheets.

BMGF Work Sheets

These three sheets are primarily used by BMGF to analyze and manage the grant. Grantees can provide input in the Payment & Reporting Schedule sheet. You may use them yourself, but please do not enter or alter any data or formulas.

Payment & Reporting Schedule

This sheet is used by the grantee to review an auto-generated preliminary payment schedule and by BMGF to make any changes before incorporating it into the grant agreement.

Analytics

Contains two analytic features: a set of Basic Charts and a Comparison Tool

Budget Pivot (Typically hidden)

Excel Pivot table that can be used to analyze the data in the Budget Detail sheet.

Historic or Background Information

These sheets are used by BMGF to preserve historic information throughout the grant or for administrative purposes. Please do not make any changes to these sheets.

[Historic Budget Details]
(Typically hidden)

If a grant budget revision takes place, the previous version of the Budget Detail sheet can be preserved as a separate sheet for reference.

Historic Budget Summaries
(Typically hidden)

If grant budget revisions take place, this sheet can be used to preserve previous versions of the Budget Summary for reference.

Config
(Typically hidden)

Used for administrative purposes to populate dropdown lists etc. throughout the template.

General Secretary of the Andean Community
Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community

Prepared by: Fernando Jiménez Zeballos

Date submitted: 22-Apr-19

Template version 2017-05-25

Legend for cell formatting:

Enter information into light yellow cells
 Enter actual expenditures into green cells
 Blue cells will be populated by BMGF

GENERAL INFORMATION

[Go to instructions for this page...](#)

Proposal Information

| | |
|-----------------------------|---|
| Organization Name | General Secretary of the Andean Community |
| Proposal Title | Enhance capacities for prevention, response and rehabilitation to disasters of the Andean Community |
| Opportunity ID | INV-001009 |
| Is this a Sub-Award Budget? | No |
| Requested Amount | \$350,000 |
| Total Project Cost | \$350,000 |

Budgeting & Reporting Periods

| | |
|---------------------------|-----------|
| Anticipated Start Date | 01-Aug-19 |
| Anticipated End Date | 31-Jul-21 |
| Project Duration (months) | 24 |

Please ensure that you are selecting the correct reporting cadence before inputting the budget details. If you need to readjust the reporting periods, the budget details will need to be revised as well to reflect the date changes. Note that if your grant is an Expenditure Responsibility (ER) grant, you must select "Align with fiscal year" as the reporting cadence.

| | | | | | | | | | | |
|-----------------------------|--------------------------|-----------|-----------|----------|----------|----------|----------|----------|----------|-----------|
| Preferred Reporting Cadence | Align with calendar year | | | | | | | | | |
| Reporting Periods | Period 1 | Period 2 | Period 3 | Period 4 | Period 5 | Period 6 | Period 7 | Period 8 | Period 9 | Period 10 |
| Period Start Date | 01-Aug-19 | 01-Jan-20 | 01-Jan-21 | | | | | | | |
| Period End Date | 31-Dec-19 | 31-Dec-20 | 31-Jul-21 | | | | | | | |
| Number of Months | 5.0 | 12.0 | 7.0 | | | | | | | |

Indirect Cost Rate(s)

Please enter indirect cost rates in accordance with the indirect cost policy:
[Read BMGF Indirect Cost policy...](#)

| | | | | |
|-------------------|-------------------|---|----|--|
| Organization Type | Government agency | Indirect Cost Rate on Primary Grantee's Portion | 0% | Maximum rates for selected organization type are 0%. |
| | | Primary Grantee's Indirect Cost Rate on Sub-award Portion | | |

Other Budget Factors

| | | | |
|-----------------------------|---|-----|--|
| More info.. | Will funds be spent in non-USD currencies? | Yes | Please complete the table at the bottom of the Financial Summary & Reporting Tab |
| More info.. | Will the budget be broken down by an additional dimension? (e.g. outcomes/outputs, project components/phases) | No | |
| More info.. | Will the total cost of this project require contributions of funding from sources other than BMGF? (e.g. either by your organization or others) | No | |
| More info.. | Is this a new version of a previously approved budget? (e.g. supplement) | No | |

FINANCIAL SUMMARY & REPORTING

Expense/Category sections to exclude the budget and actuals & projections --

Budget Prepared by: Fernando Jimenez Zubizarra

Period 1: Aug-18 - Dec-19 Budget
 Period 2: Jan-20 - Dec-20 Budget
 Period 3: Jan-21 - Jul-21 Budget
 Period 4: Budget
 Period 5: Budget

Actuals as of:
 Period 1: Aug-19 - Dec-19 Actual
 Period 2: Jan-20 - Dec-20 Projected
 Period 3: Jan-21 - Jul-21 Projected
 Period 4: Projected
 Period 5: Projected

Period 10
 Period 9
 Period 8
 Period 7
 Period 6
 Period 5
 Period 4
 Period 3
 Period 2

Go to Reporting Instructions for this table
 Go to Reporting Instructions for this table

Cash Flow Summary (BMSGF Funds Only)

NOTE: Blue cells will be populated by BMSGF after budget has been reviewed.

| Revenue | Period 1 Aug-18 - Dec-19 Budget | Period 2 Jan-20 - Dec-20 Budget | Period 3 Jan-21 - Jul-21 Budget | Period 4 Budget | Period 5 Budget | TOTAL |
|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|--------------------|--------------------|---------|
| BMSGF Payments(s) | - | - | - | - | - | \$ - |
| Interest Earned | - | - | - | - | - | - |
| Other Gains / (Losses) | - | - | - | - | - | - |
| Carry-over Amount from Prior Period | - | (91,073) | (279,017) | (350,000) | (350,000) | N/A |
| TOTAL CASH AVAILABLE BY PERIOD | - | (91,073) | (279,017) | (350,000) | (350,000) | N/A |
| Expenditures | - | - | - | - | - | - |
| BMSGF Funds Spent on Direct Cost | 91,073 | 187,944 | 70,983 | - | - | 350,000 |
| BMSGF Funds Spent on Indirect Cost | - | - | - | - | - | - |
| Interest Spent | - | - | - | - | - | - |
| TOTAL EXPENDITURE BY PERIOD | 91,073 | 187,944 | 70,983 | - | - | 350,000 |
| BALANCE AT PERIOD END | \$(91,073) | \$(279,017) | \$(90,000) | \$(350,000) | \$(350,000) | N/A |

Summary by Expense Category

| Category | Period 1 Aug-18 - Dec-19 Budget | Period 2 Jan-20 - Dec-20 Budget | Period 3 Jan-21 - Jul-21 Budget | Period 4 Budget | Period 5 Budget | TOTAL | % of Total Direct Cost |
|--------------------------|---------------------------------------|---------------------------------------|---------------------------------------|--------------------|--------------------|---------|---------------------------|
| Personnel | 12,190 | 20,100 | 17,010 | - | - | 59,300 | 17% |
| Materials | 1,300 | 14,700 | 24,770 | - | - | 40,770 | 12% |
| Consultants | 40,400 | 80,000 | 20,000 | - | - | 140,400 | 42% |
| Capital Equipment | - | - | - | - | - | - | 0% |
| Other Direct Costs | 32,223 | 54,464 | 1,200 | - | - | 87,887 | 25% |
| Sub-awards | - | - | - | - | - | - | 0% |
| TOTAL DIRECT COST | 91,073 | 187,944 | 70,983 | - | - | 350,000 | 100% |
| Indirect Cost | - | - | - | - | - | - | 0% |
| TOTAL BUDGET | 91,073 | 187,944 | 70,983 | - | - | 350,000 | 100% |

Go to Reporting Instructions for this table

| Category | Period 1 Aug-19 - Dec-19 Actual | Period 2 Jan-20 - Dec-20 Projected | Period 3 Jan-21 - Jul-21 Projected | Period 4 Projected | Period 5 Projected | TOTAL | % of Total Direct Cost |
|--------------------------|---------------------------------------|--|--|-----------------------|-----------------------|-------|---------------------------|
| Personnel | - | - | - | - | - | - | - |
| Materials | - | - | - | - | - | - | - |
| Consultants | - | - | - | - | - | - | - |
| Capital Equipment | - | - | - | - | - | - | - |
| Other Direct Costs | - | - | - | - | - | - | - |
| Sub-awards | - | - | - | - | - | - | - |
| TOTAL DIRECT COST | - | - | - | - | - | - | - |
| Indirect Cost | - | - | - | - | - | - | - |
| TOTAL BUDGET | - | - | - | - | - | - | - |

Go to Reporting Instructions for this table

Brief description of the activity and its location.

| Activity | Unit | Cost | % of Total Direct Cost | Total | Unit | % of Total Direct Cost | Total | Budget Variance |
|--------------------------|------|------|------------------------|-------|------|------------------------|-------|-----------------|
| MA | | \$ | | \$ | | | | |
| MA | | \$ | | \$ | | | | |
| MA | | \$ | | \$ | | | | |
| MA | | \$ | | \$ | | | | |
| TOTAL DIRECT COST | | | | | | | | |

Total Project Cost

| Category | Unit | Cost | % of Total | Total | Unit | % of Total | Total | Budget Variance |
|---------------------------|------|------|------------|-------|------|------------|-------|-----------------|
| Personnel | | | | | | | | |
| Travel | | | | | | | | |
| Consultants | | | | | | | | |
| Capital Equipment | | | | | | | | |
| Other Direct Costs | | | | | | | | |
| Sub-PROJECT | | | | | | | | |
| TOTAL PROJECT COST | | | | | | | | |

Funding Plan

| Source of Funding | Unit | Cost | % of Total | Total | Unit | % of Total | Total | Budget Variance |
|------------------------------|------|------|------------|-------|------|------------|-------|-----------------|
| DOE - DIRECT COST | | \$ | | \$ | | | | |
| DOE - INDIRECT COST | | \$ | | \$ | | | | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| 10 | | | | | | | | |
| TOTAL FUNDING PLAN | | | | | | | | |
| TOTAL SOURCE OF FUNDS | | | | | | | | |
| TOTAL PROJECT FUNDING | | | | | | | | |
| OPERATIONAL FUNDING | | | | | | | | |
| Operational Funding | | | | | | | | |

Exchange Rates

Enter Currency Symbol (e.g., USD, GBP, ...)

Enter Exchange Rate (Units/USD)

| | | |
|----|--|--|
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | |
| 10 | | |

Go to reporting instrument for details

Budget (Units/USD)

| | | |
|----|--|--|
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | |
| 10 | | |

PAYMENT SCHEDULE

Go to instructions for this page...

This sheet is intended for grantee review. Please use the comment box to provide input and do not edit any other information.

The calculator below automatically generates a payment schedule based your inputs in other sheets. Please review the table at the bottom of the page and provide your comments on the proposed schedule. Please note that BIMGF may make changes to the schedule based on your inputs and other factors.

Grant Agreement

Please note that the table below is initially auto-populated and may be changed by BIMGF as the payment & reporting schedule is finalized. In case of inconsistencies between the table below and the Grant Agreement, the Grant Agreement overrides the table below.

| Investment Period | Target, Milestone, or Reporting Deliverable | Due By | Payment Date | Payment Amount (U.S.\$) |
|--------------------------------------|---|------------------|--|-------------------------|
| Agosto 1, 2019 to Diciembre 31, 2019 | Progress Report | January 31, 2020 | Within 15 days upon receipt of Counter-signed Grant Agreement. | \$137,415.36 |
| Enero 1, 2020 to Diciembre 31, 2020 | Progress Report | January 31, 2021 | March 2020 | Up to \$171,542 |
| | Progress Report | | March 2021 | Up to \$41,042 |
| | Progress Report | | | |
| | Progress Report | | | |
| | Progress Report | | | |
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| | Progress Report | | | |
| | Progress Report | | | |
| | Progress Report | | | |
| | Progress Report | | | |
| | Final Report | | | |
| | | | Total Grant Amount | Up to \$350,000 |

Grantee Comments
 [Please use this space to comment on the automatically generated payment & reporting schedule.]

